

# Prognostic and predictive impact of NOTCH1 in early breast cancer

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## Background

Systemic therapy plays a major part in the cure of patients with early breast cancer. However, more individualized treatment concepts are required with increasing success to avoid potentially harmful overtreatment. Therefore, biomarkers are needed and the Notch-signalling pathway is considered to be suitable as a prognostic or predictive marker in breast cancer as it is involved in proliferation, invasiveness and chemotherapy resistance<sup>1</sup>.

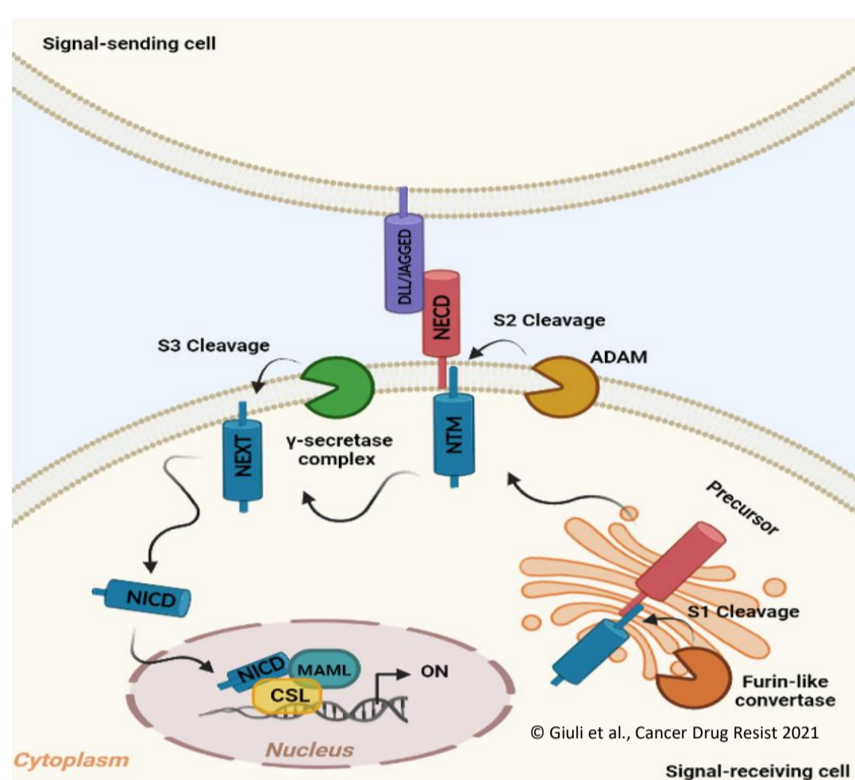


Figure 1: Notch signalling pathway<sup>2</sup>

## Patients and Methods

- Prospective, multicenter cohort (Prognostic Assessment in Routine Application (PiA), 2009-2011, NCT01592825) of 1,270 breast cancer patients, median follow-up of 60 months
- Determination of relative NOTCH1-mRNA expression in tumour tissue (n=414) by quantitative RT-PCR
- Primary objective:** Evaluation of NOTCH1-mRNA expression and its association with patients and tumours characteristics
- Secondary objectives:** Association of NOTCH1-mRNA expression with recurrence-free interval (RFI), overall survival (OS), and the predictive value of NOTCH1-mRNA expression with regard to adjuvant chemotherapy

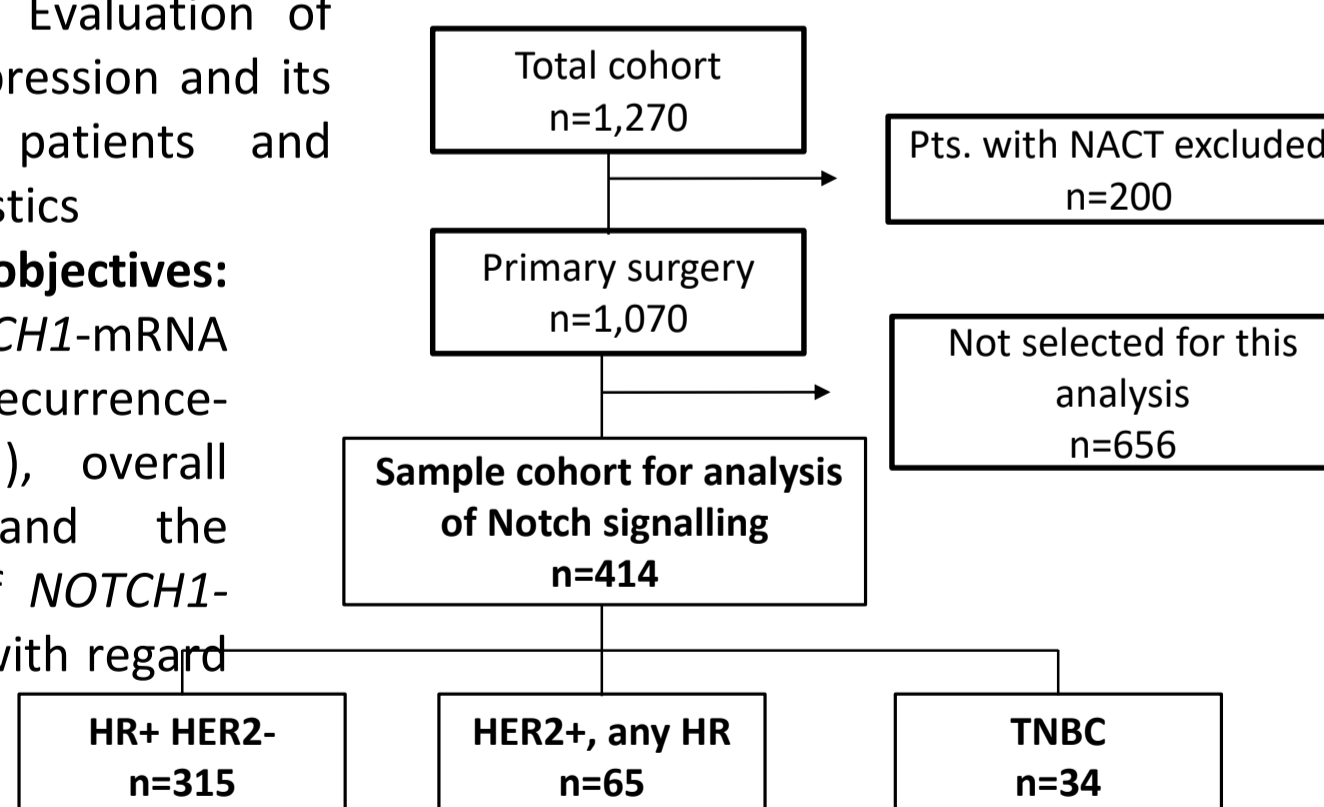


Figure 2: Consort diagram. Patients of the PiA-cohort (n = 1,270) and groups used for multivariate NOTCH1-mRNA expression analysis (n = 414).

Table 1: Distribution of low and high NOTCH1-mRNA expression in selected groups.

	Notch-cohort n=414 (100%)	NOTCH1-mRNA low n=287 (69.3%)	NOTCH1-mRNA high n=127 (30.7%)
<b>Age</b>			
< 50 yrs	104 (25.1%)	77 (26.8%)	27 (21.3%)
≥ 50 yrs	310 (73.2%)	210 (73.2%)	100 (78.7%)
<b>Nodal status</b>			
Negative	251 (60.6%)	175 (61.0%)	76 (59.8%)
Positive	163 (39.4%)	112 (39.0%)	51 (40.2%)
<b>Tumour histology</b>			
Ductal (NST)	329 (79.5%)	220 (76.7%)	109 (85.8%)
Lobular	67 (16.2%)	52 (18.1%)	15 (11.8%)
Others	18 (4.4%)	15 (5.2%)	3 (2.4%)
<b>Tumour size</b>			
≤ 2cm	198 (47.8%)	130 (45.3%)	68 (53.5%)
> 2cm	216 (52.2%)	157 (54.7%)	59 (46.5%)
<b>Grading</b>			
G1	39 (9.4%)	27 (9.4%)	12 (9.5%)
G2	267 (64.5%)	189 (65.9%)	78 (61.4%)
G3	108 (26.1%)	71 (24.74%)	37 (29.1%)
<b>Hormone receptor status</b>			
Positive (ER and/or PgR ≥ 1%)	357 (86.2%)	256 (89.2%)	<b>101 (79.5%)</b>
Negative (ER and PgR < 1%)	57 (13.8%)	31 (10.8%)	<b>26 (20.5%)</b>
<b>HER2 status</b>			
Positive (DAKO 2 if ISH positive, DAKO 3)	65 (15.7%)	30 (10.5%)	<b>35 (27.6%)</b>
Negative (DAKO 0, 1 or 2 if ISH negative)	349 (84.3%)	257 (89.5%)	<b>92 (72.4%)</b>
<b>uPA/PAI-1 status</b>			
Low: uPA and PAI-1 low	155 (37.4%)	120 (41.8%)	<b>35 (27.6%)</b>
High: uPA and/or PAI-1 high	259 (62.6%)	167 (58.2%)	<b>92 (72.4%)</b>

Abbreviations: estrogen receptor (ER), progesteron receptor (PgR), hormone receptor (HR), human epidermal growth factor receptor 2 (HER2), urokinase-type plasminogen activator (uPA), cut off ≥3 ng/mg total protein, plasminogen activator inhibitor type 1 (PAI-1) cut off ≥14 ng/mg total protein, bold: p-value (Pearson  $\chi^2$  test) < 0.05

## Results I

- High NOTCH1-mRNA expression was detected in one-third of the tumours
- Significant prognostic impact of high NOTCH1-mRNA expression with regard to RFI (adjusted hazard ratio 2.1, 95% CI 1.077–4.118)
- Combination of NOTCH1-mRNA expression and uPA/PAI-1: highest risk of recurrences and poorest overall survival for the patient group with high NOTCH1-mRNA expression combined with high uPA/PAI-1.

## Results II

- Patients with high NOTCH1-mRNA expression and chemotherapy (n = 86) had a worse clinical outcome (adjusted hazard ratio of 3.1 for disease-related events, 95% CI 1.321–7.245, p = 0.009)

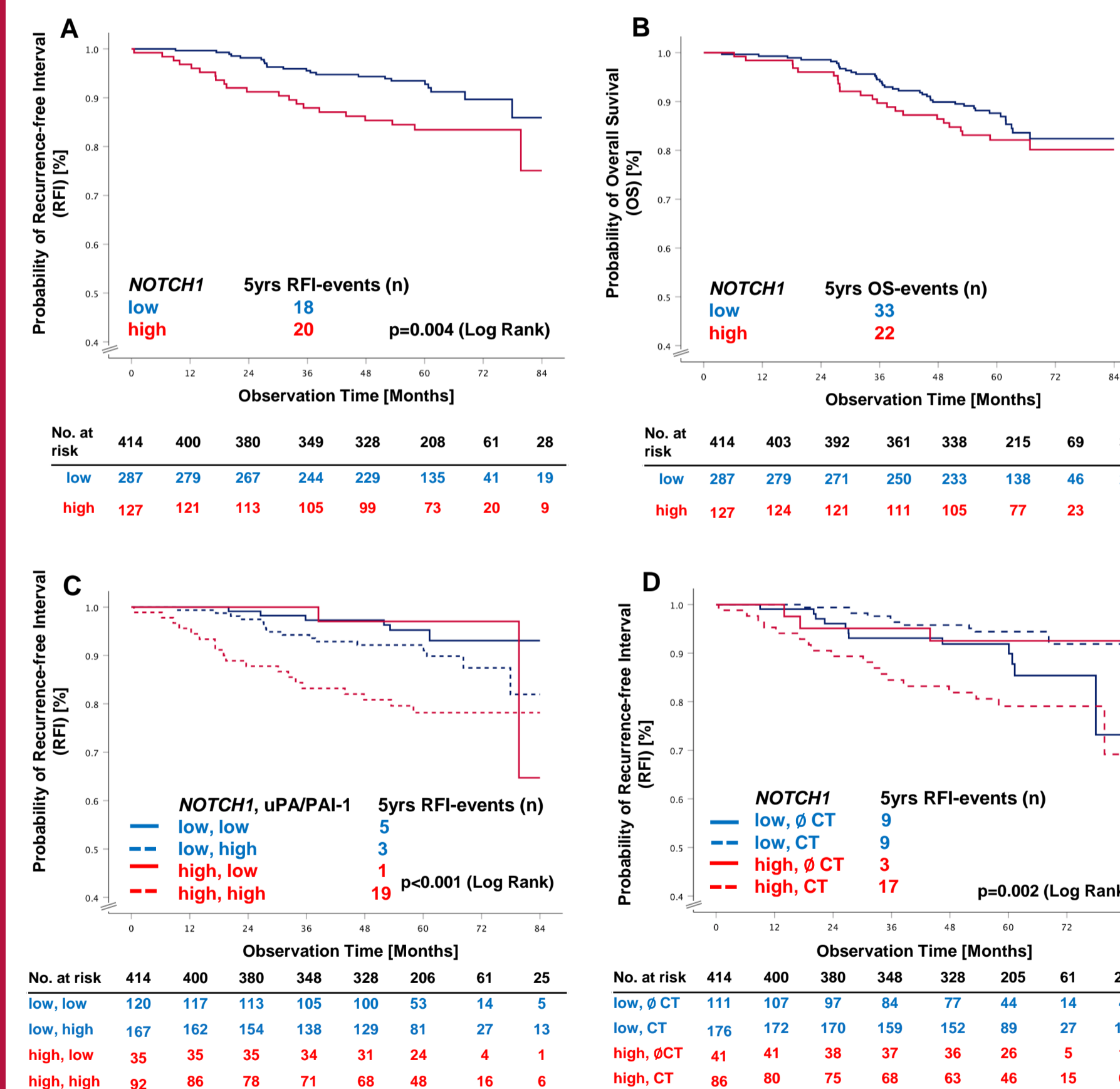


Figure 3: Survival estimates for NOTCH1-mRNA expression with regard to RFI (A) and OS (B); considering NOTCH1-mRNA expression and uPA/PAI-1 status with regard to RFI (C); considering NOTCH1-mRNA expression and receiving chemotherapy with regard to RFI (D), the tables present the effective sample size for each interval (No. at risk). Abbreviation: Chemotherapy (CT)

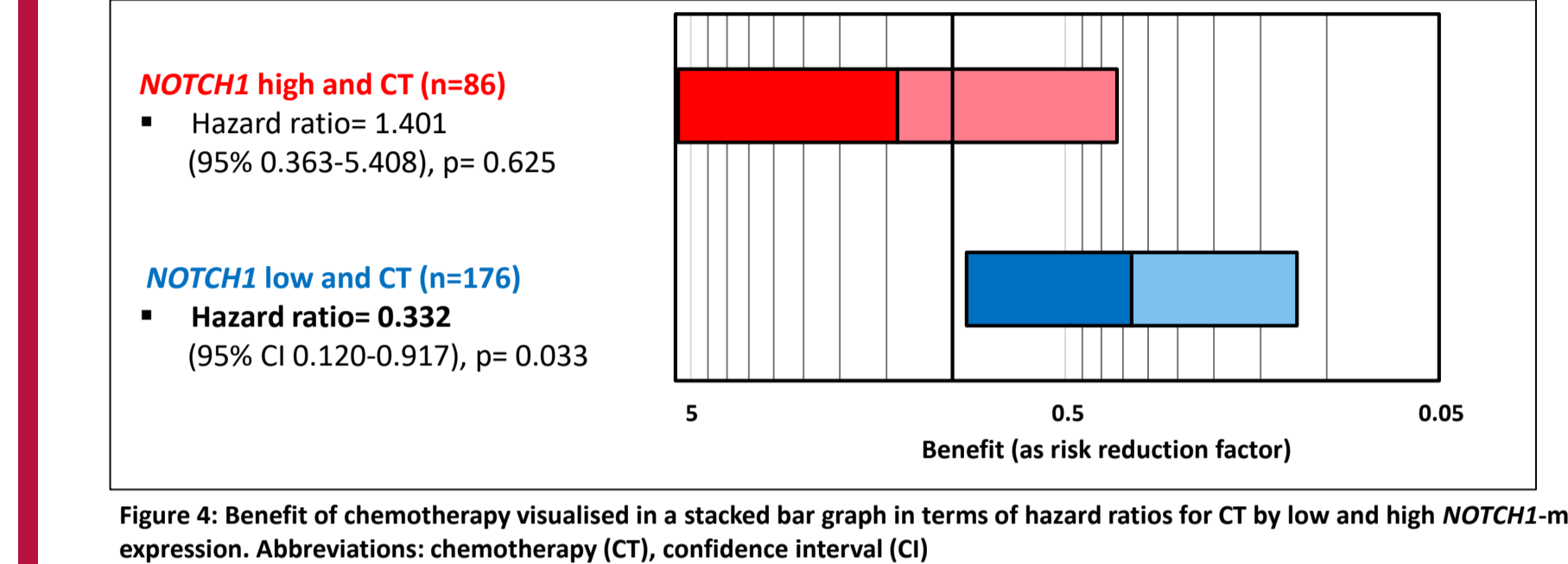


Figure 4: Benefit of chemotherapy visualised in a stacked bar graph in terms of hazard ratios for CT by low and high NOTCH1-mRNA expression. Abbreviations: chemotherapy (CT), confidence interval (CI)

Table 2: Multivariate analysis of NOTCH1-mRNA expression (n = 414).

Parameters	sample size	Multivariate analysis RFI		
		events	Hazard Ratio	95% CI
<b>ALL<sup>a</sup></b>	<b>n = 414</b>			
NOTCH1 low	287	18	1	
NOTCH1 high	127	20	<b>2.10</b>	1.077-4.118
<b>ALL with regard to NOTCH1 and uPA/PAI-1<sup>b</sup></b>				
NOTCH1 low, uPA/PAI-1 low	120	5	1	
NOTCH1 high, uPA/PAI-1 high	92	19	<b>3.68</b>	1.452-9.334
<b>ALL with regard to CT<sup>c</sup></b>				
NOTCH1 low, no CT	111	9	<b>2.85</b>	1.103-7.388
NOTCH1 low, CT	176	9	1	
NOTCH1 high, no CT	41	3	2.33	0.617-8.828
NOTCH1 high, CT	86	17	<b>3.09</b>	1.321-7.245

<sup>a</sup> adjusted NOTCH1-mRNA expression to: nodal status and histopathological groups, <sup>b</sup> adjusted NOTCH1-mRNA expression to: uPA/PAI-1, nodal status and grading, <sup>c</sup> adjusted NOTCH1-mRNA expression to: nodal status, HR status and HER2 status

## Conclusion

In summary, in our cohort for NOTCH1 we showed a prognostic and, particularly, a significant predictive impact of NOTCH1-mRNA expression. We observed that tumours with high NOTCH1-mRNA expression seem to be less sensitive to cytotoxic treatment. Therefore, we postulate, that downregulation of the Notch-signalling pathway, e.g. with  $\gamma$ -secretase inhibitors, may improve the efficacy of breast cancer therapy by restoring chemosensitivity.

## References

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